



**NTP**  
National Toxicology Program

# DNA Based Therapies

Richard Irwin, Ph.D.

NTP Board of Scientific Counselors Meeting

November 20, 2008





## FDA Nomination of DNA Based Therapies

- Limited authority to require non-acute long term studies for biologicals
- Majority of sponsors are small biotechnology companies or academic institutions that lack the resources to support extensive preclinical studies
- Non dissemination of proprietary information making regulatory consensus difficult
- DNA based therapies are the fastest growing segment of the product portfolio of the FDA Center for Biologic Evaluation and Research (CBER)





## **DNA Based Therapies**

- Viral Vectors – gene therapy
- Bacterial Plasmids – plasmid based vaccines
- Non Coding RNA – siRNA, ribozymes
- Synthetic Oligonucleotides – immuno adjuvants



## **Current Activities**

- Joint studies with FDA to examine insertional mutagenesis of retroviral and lentiviral vectors in hematopoietic stem cells
- Collaborative studies with NIDCR to evaluate the safety of using the protein secreting ability of salivary glands transduced with different vector-transgene combinations, to express and secrete transgene encoded therapeutic proteins into serum for treatment of single protein deficiency diseases



## **Insertional Mutagenesis Associated with Integration of Retroviral Vectors**

- Integration occurs at random (?) genomic locations within transcriptionally active chromatin
- Portions of viral genome that remain in the vector (LTR) may contain promotor/enhancer sequences or other sequences that disrupt control of cellular genes
- Integration may disrupt the coding or control region of a gene
- The ectopic expression of the transgene product may alter or disrupt host cell signaling leading to activation of an oncogene or inactivation of a tumor suppressor gene.



## **Ex-vivo Exposure with Retroviral Vectors**

- Use vector to introduce (integrate into genome) therapeutic gene into population of stem cells: repopulating hematopoietic stem cells (HSC's)
- Serve as renewable source of cells expressing therapeutic gene product, providing permanent correction
  - bone marrow; enrich for HSC
  - ex-vivo exposure to vector
    - ex-vivo expansion
      - reinfusion



## **X-linked SCID**

- SCID: severe-combined-immunodeficiency-syndrome
- Characterized by severe lymphopenia at birth; uniformly fatal within two years without intervention
- Associated with mutations in 9 genes that exhibit either x-linked recessive or autosomal recessive pattern of inheritance
- X-linked SCID is the most common form
- Caused by mutations in the gene encoding the common gamma chain ( $\gamma_c$ ) a component of cell surface receptors for several interleukins (IL-2, IL-4, IL-7, IL-9, IL-15, IL-21)



# First Successful Human Gene Therapy Trial

QuickTime™ and a  
TIFF (Uncompressed) decompressor  
are needed to see this picture.

Cavazzana-Calvo et al Science 288, 2000





# Insertional Mutagenesis in a Human Clinical Trial Involving a Retroviral Vector

## *LMO2*-Associated Clonal T Cell Proliferation in Two Patients after Gene Therapy for SCID-X1

S. Hacein-Bey-Abina,<sup>1,2\*</sup> C. Von Kalle,<sup>6,7,8</sup> M. Schmidt,<sup>6,7</sup>  
M. P. McCormack,<sup>9</sup> N. Wulffraat,<sup>10</sup> P. Leboulch,<sup>11</sup> A. Lim,<sup>12</sup>  
C. S. Osborne,<sup>13</sup> R. Pawliuk,<sup>11</sup> E. Morillon,<sup>2</sup> R. Sorensen,<sup>19</sup>  
A. Forster,<sup>9</sup> P. Fraser,<sup>13</sup> J. I. Cohen,<sup>15</sup> G. de Saint Basile,<sup>1</sup>  
I. Alexander,<sup>16</sup> U. Wintergerst,<sup>17</sup> T. Frebourg,<sup>18</sup> A. Aurias,<sup>19</sup>  
D. Stoppa-Lyonnet,<sup>20</sup> S. Romana,<sup>3</sup> I. Radford-Weiss,<sup>3</sup> F. Gross,<sup>2</sup>  
F. Valensi,<sup>4</sup> E. Delabesse,<sup>4</sup> E. Macintyre,<sup>4</sup> F. Sigaux,<sup>20</sup> J. Soulier,<sup>21</sup>  
L. E. Leiva,<sup>14</sup> M. Wissler,<sup>6,7</sup> C. Prinz,<sup>6,7</sup> T. H. Rabbitts,<sup>9</sup>  
F. Le Deist,<sup>1</sup> A. Fischer,<sup>1,5†‡</sup> M. Cavazzana-Calvo<sup>1,2†</sup>

We have previously shown correction of X-linked severe combined immunodeficiency [SCID-X1, also known as  $\gamma$  chain ( $\gamma$ c) deficiency] in 9 out of 10 patients by retrovirus-mediated  $\gamma$ c gene transfer into autologous CD34 bone marrow cells. However, almost 3 years after gene therapy, uncontrolled exponential clonal proliferation of mature T cells (with  $\gamma\delta$ + or  $\alpha\beta$ + T cell receptors) has occurred in the two youngest patients. Both patients' clones showed retrovirus vector integration in proximity to the *LMO2* proto-oncogene promoter, leading to aberrant transcription and expression of *LMO2*. Thus, retrovirus vector insertion can trigger deregulated premalignant cell proliferation with unexpected frequency, most likely driven by retrovirus enhancer activity on the *LMO2* gene promoter.

Hacein-Bay Abina et al, Science 302, 2003



# Insertional Mutagenesis in Mouse Gene Marking Study

MEDICINE

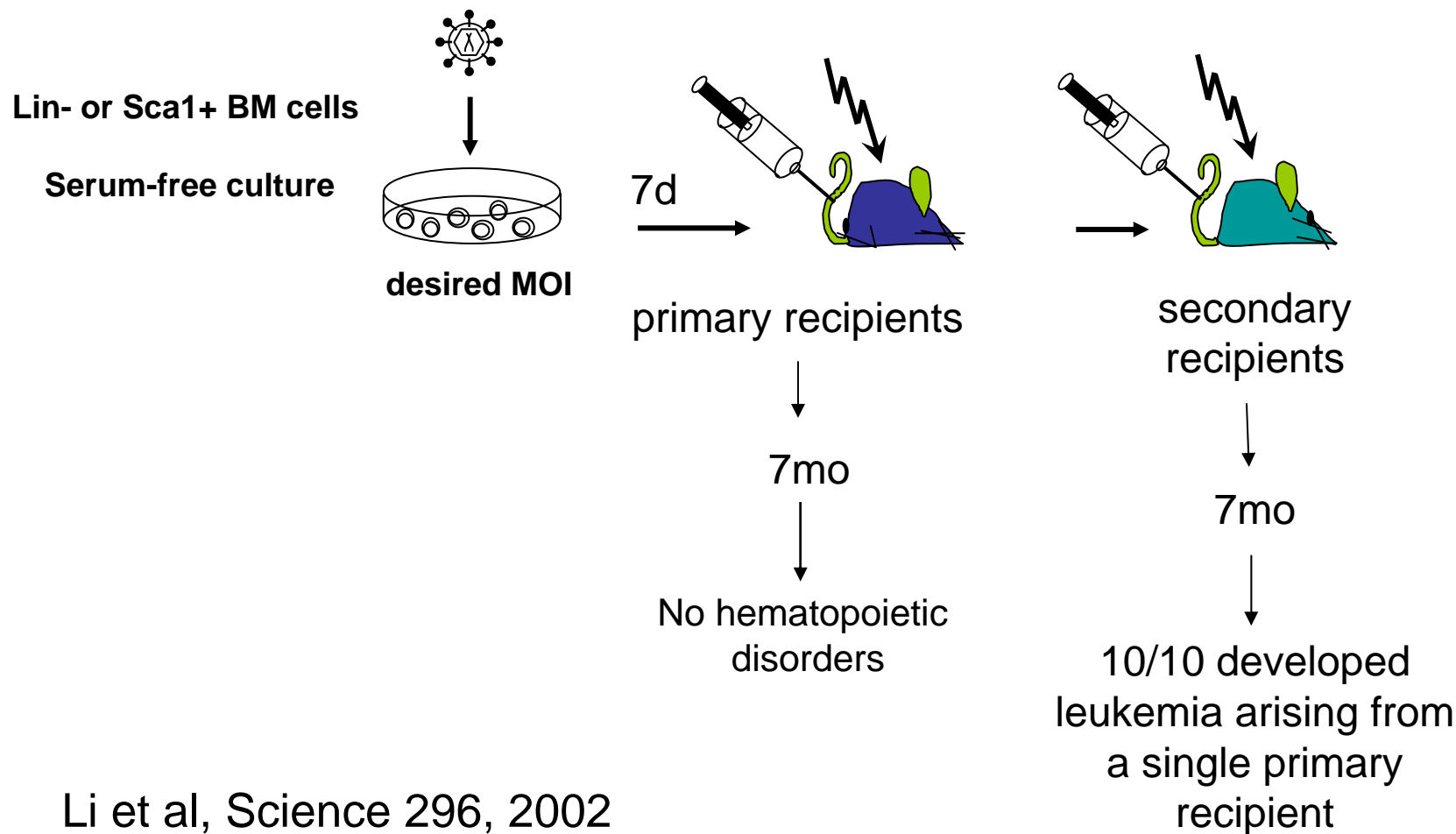
## Murine Leukemia Induced by Retroviral Gene Marking

Zhixiong Li,<sup>1,2</sup> Jochen Düllmann,<sup>3</sup> Bernd Schiedlmeier,<sup>1</sup>  
Manfred Schmidt,<sup>4</sup> Christof von Kalle,<sup>4</sup> Johann Meyer,<sup>2</sup>  
Martin Forster,<sup>1</sup> Carol Stocking,<sup>1</sup> Anke Wahlers,<sup>1</sup> Oliver Frank,<sup>1</sup>  
Wolfram Ostertag,<sup>1</sup> Klaus Köhlcke,<sup>5</sup> Hans-Georg Eckert,<sup>5</sup>  
Boris Fehse,<sup>3</sup> Christopher Baum<sup>1,2\*</sup>

Li et al, Science 296, 2002



## Serial Bone Marrow Transplantation Model



Li et al, Science 296, 2002

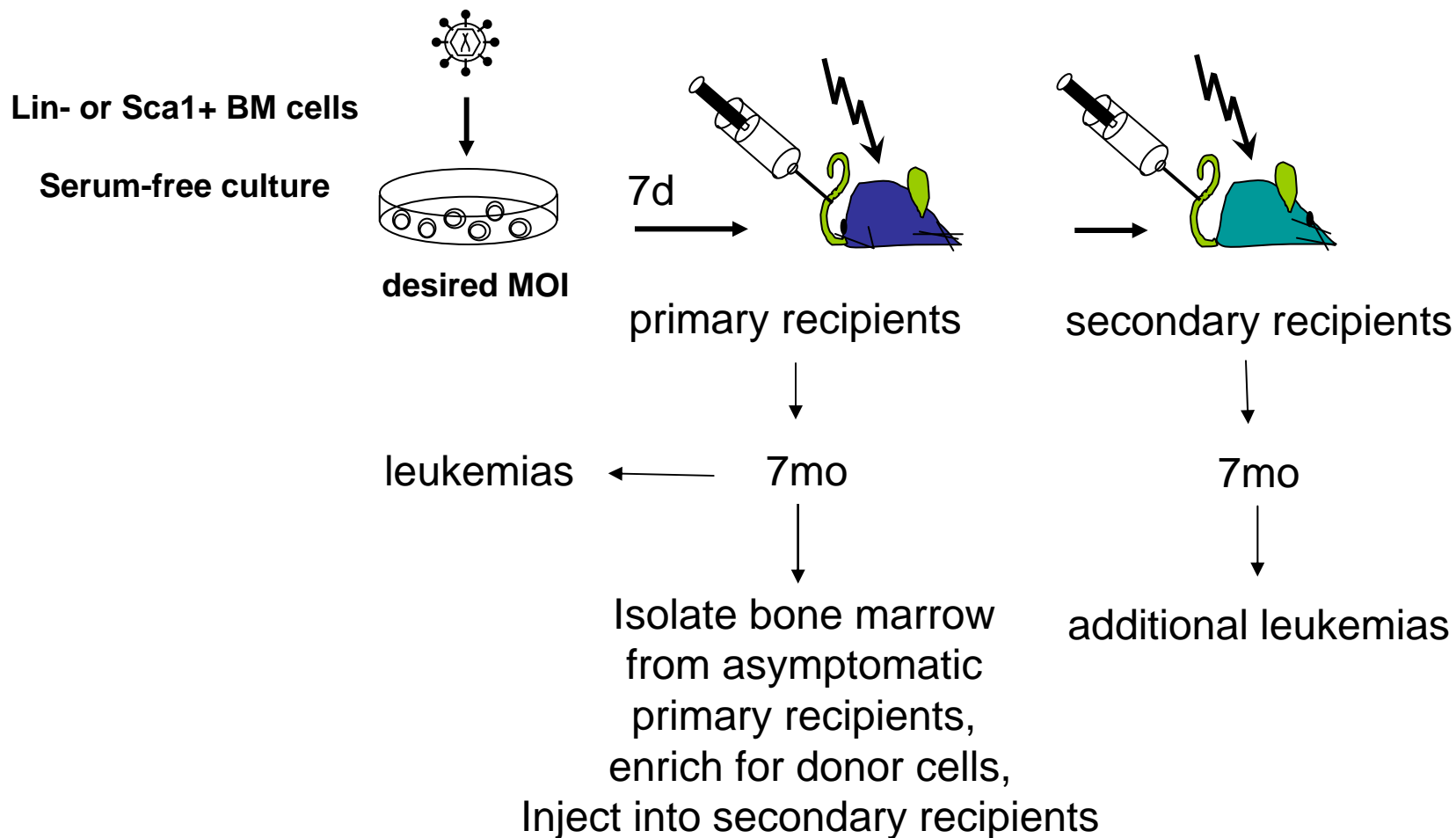


## **Factors Influencing Retroviral Vector Mediated Insertional Mutagenesis leading to Tumor Development**

- Vector Architecture
  - backbone elements
  - transgene
  - presence or absence of certain promotor- enhancer elements
- Dose - multiplicity of insertions
- Insertion sites



## Assay for Insertional Mutagenesis



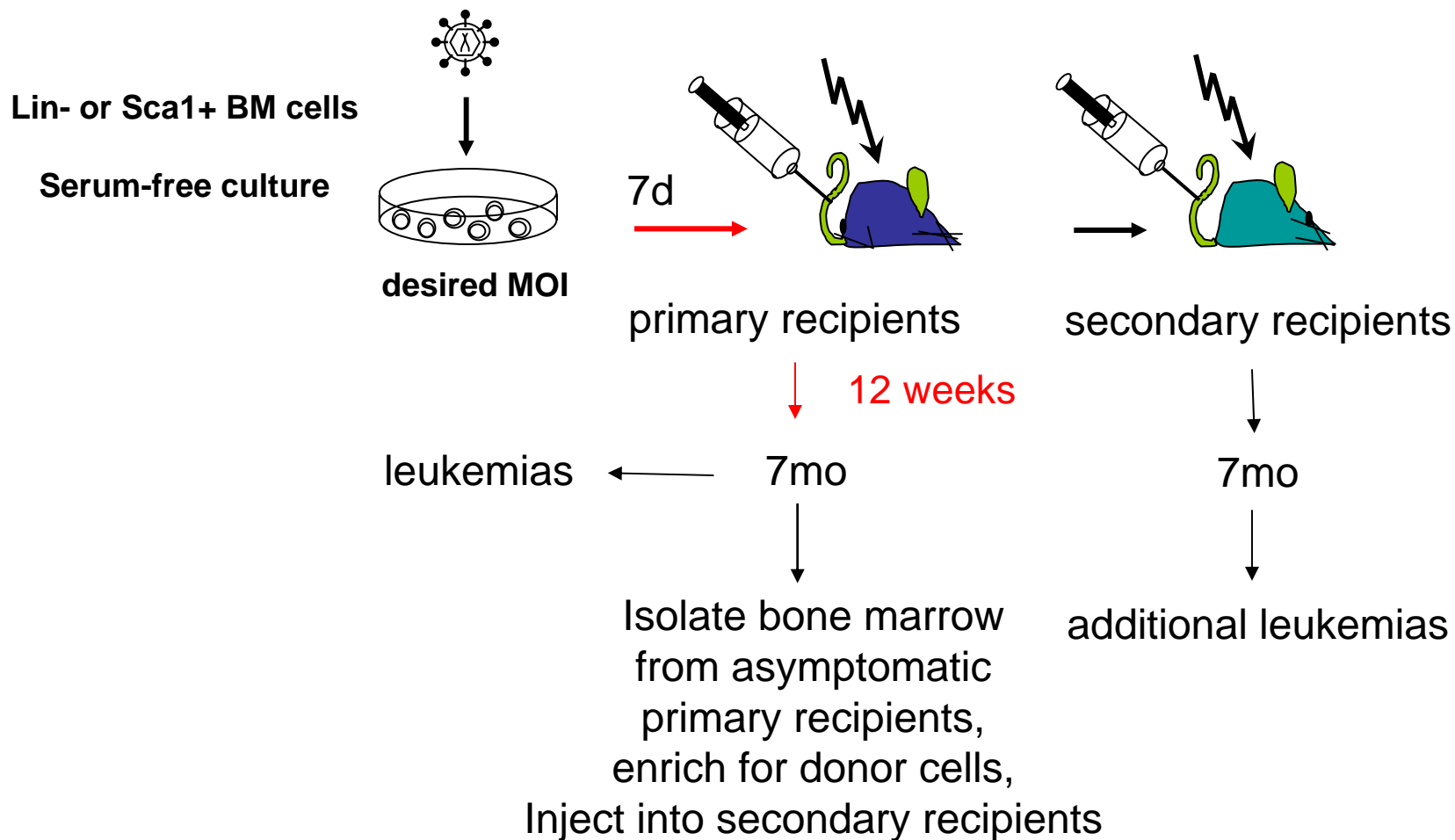


## Animal Models

- Donors: female C57BL/6
  - Carry the normal *Ptp<sup>rc</sup>b* allele
- Acceptors: female B6.SJL - *Ptp<sup>rc</sup>a* *Pep<sup>cb</sup>* /BoyJ
  - *Ptp<sup>rc</sup>a* and *Pep<sup>cb</sup>* are closely linked alleles serially backcrossed from SJL/J onto C57BL/6
- Ptp<sup>r</sup> = protein tyrosine phosphatase receptor; cell surface protein aka CD45 allows separation of donor (*b* allele) and acceptor (*a* allele) bone marrow cells

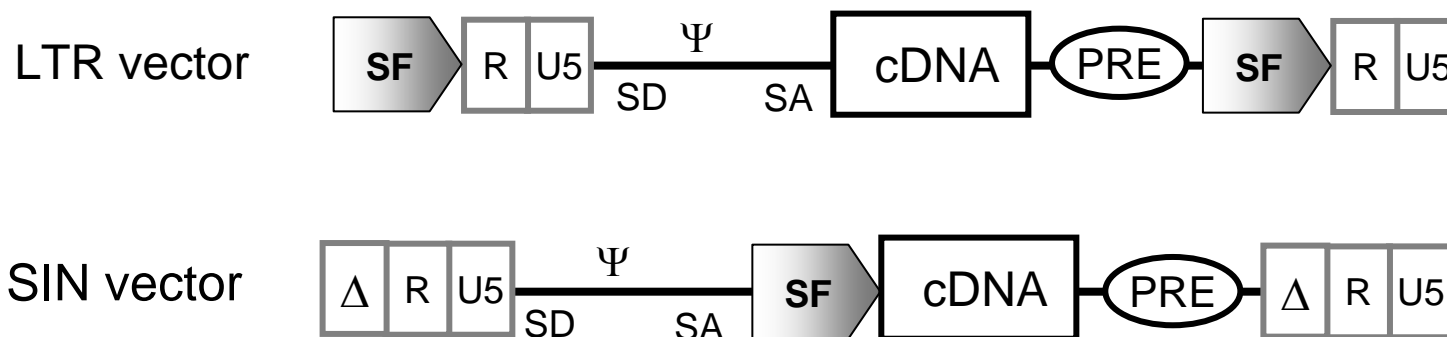


## Pilot Study





## Vector Design for Initial FDA Study



Transgene: enhanced green fluorescent protein  
EGFP





## Flow cytometry results for bone marrow

- Robust (>50 %) donor engraftment observed in:
  - 10/15 NTPMOCK
  - 15/15 NTPLTR
  - 8/9 NTPSIN
- NTPLTR mice had greater donor cell engraftment and higher total % EGFP+ cells relative to NTPSIN



## **Definitive Studies**

- 50 animals per group; 2 multiplicities of infection (MOI), low and high;
- After 7 months bone marrow from each vector exposed asymptomatic primary recipient will be transplanted into 2 irradiated secondary recipients and maintained for another 7 months;
- Monthly transgene analysis and leukemia monitoring in peripheral blood;
- collection of tissues for DNA extraction and insertion site mapping



## **Gene Transfer to Rat and Mouse Salivary Glands: Dr. Bruce Baum, NIDCR**

- Investigate the feasibility and safety of using the protein secreting ability of salivary glands transduced with different vector-transgene combinations, to express and secrete transgene encoded therapeutic proteins;
- Salivary glands are capable of endocrine secretion of proteins directly into serum;
- Treatment of single protein deficiency diseases:
  - Growth hormone
  - Clotting factors
- Treatment of salivary gland disorders: loss of saliva secretion associated with radiation treatment of head and neck cancers



## **Advantages of Salivary Gland Transduction**

- In vivo transduction - direct access to salivary glands through cannulation of excretory ducts; no surgery required
- In humans, cannulation is a routine clinical procedure performed without anesthesia
- Human salivary glands are encapsulated, potentially limiting the systemic dissemination of gene transfer vectors
- Salivary glands can be surgically removed in case of complications



## Major Objectives

- Evaluate toxicity associated with salivary gland transduction
- Persistence and duration of transgene expression
- Distribution of therapeutic protein between serum and saliva
- Biological response to transgene encoded protein
- Systemic distribution of vector
- Effect of vector dose on transduction efficiency



## Typical Study Protocol

- Day 1: animals (males and females, 5-10/group) cannulated in right submandibular gland duct (under anesthesia) and solution of vector in saline injected via syringe connected to cannula
- Typically 4-5 dose groups (vector particles/unit volume)
- Standard in life monitoring
- Days 3, 29, 57, 92 collect blood and saliva, necropsy animals and collect tissues
- Hematology, clinical chemistry, histopathology
- QPRC on testis/ovary, spleen, liver, lungs, heart, right kidney, intestine, brain, tongue, peripheral blood, saliva, draining lymph node, right and left submandibular gland



## **Vector Transgene Combinations**

- AdCMVhGH: adenoviral vector with human growth hormone gene driven from a CMV promotor
- No effect on food or water consumption
- Dose related levels of hGH in serum
- Vector present primarily in submandibular glands



## **Vector Transgene Combinations**

- AdhAQP1 adenoviral vector with human aquaporin-1 transgene
- No adverse effects; vector localized to salivary glands
- Previous studies in minipigs and rats demonstrated that transduction of salivary glands in animals that were irradiated in the head/neck region restored lost salivary gland function
- Currently this vector is being used in a human clinical trial to determine if this treatment may be used in humans that have lost salivary gland function as a result of irradiation for head and neck cancers





## Vector Transgene Combinations

- AAVhEPO: vector based on adeno associated virus carrying human erythropoietin transgene hEPO
- No adverse toxic effects
- Dose related increase in erythropoiesis
- Biodistribution revealed significant differences between males and females



## **Studies Completed**

- **Voutetakis A, Zheng C, Wang J, Goldsmith CM, Afione S, Chiorini JA, Wenk ML, Vallant M, Irwin RD, Baum BJ. Gender differences in serotype 2 adeno-associated virus biodistribution after administration to rodent salivary glands. Hum Gene Ther. 2007 Nov;18(11):1109-18.**
- **Zheng C, Goldsmith CM, Mineshiba F, Chiorini JA, Kerr A, Wenk ML, Vallant M, Irwin RD, Baum BJ. Toxicity and biodistribution of a first-generation recombinant adenoviral vector, encoding aquaporin-1, after retroductal delivery to a single rat submandibular gland. Hum Gene Ther. 2006 Nov;17(11):1122-33.**
- **Zheng C, Voutetakis A, Kok MR, Goldsmith CM, Smith GB, Elmore S, Nyska A, Vallant M, Irwin RD, Baum BJ. Toxicity and biodistribution of a first-generation recombinant adenoviral vector, in the presence of hydroxychloroquine, following retroductal delivery to a single rat submandibular gland. Oral Dis. 2006 Mar;12(2):137-44.**



## Summary

- Development and validation of models for preclinical evaluation of viral vectors and other DNA containing therapies
- Data from studies conducted by the NTP is readily available in the public domain and will serve as a resource for regulators and researchers



## Collaborators

### FDA Division of Cellular and Gene Therapy

- Carolyn Wilson
- Mercedes Serabian

### Cincinnati Childrens Medical Center

- Lilith Reeves
- Chris Baum

### Battelle Laboratories

- Milton Hejtmancik
- Laurie Fomby

### NIDCR

- Bruce Baum
- Changyu Zheng

### Bioreliance

- Marty Wenk

### NTP

- Molly Vallant

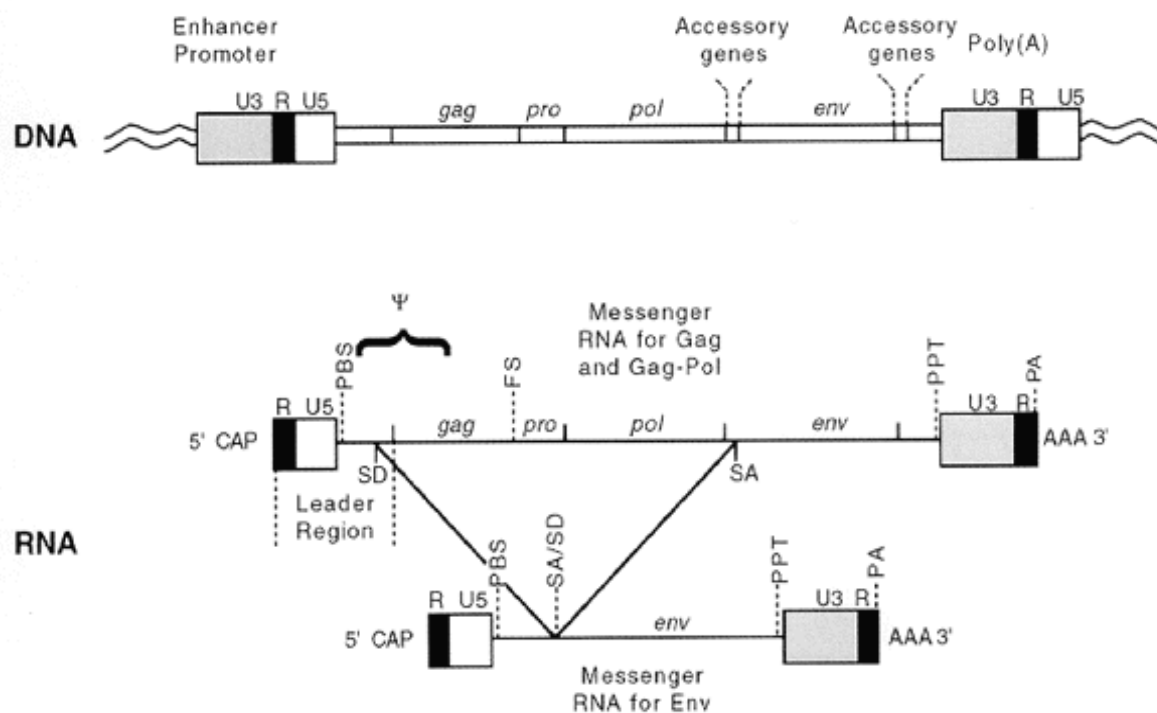


## PCR

- qPRC
  - Marking primarily present in the bone marrow and spleen of NTP-LTR and NTP-SIN
  - Vector was only detected in peripheral blood of 5 animals
- ecoRCR
  - No amplification for viral ecotropic envelope sequences
- LM-PCR
  - No monoclonal dominance noted
  - All NTPLTR and NTPSIN animals demonstrated vector insertions



## Genetic Organization of Simple Retrovirus





## Construction of a Retroviral Vector

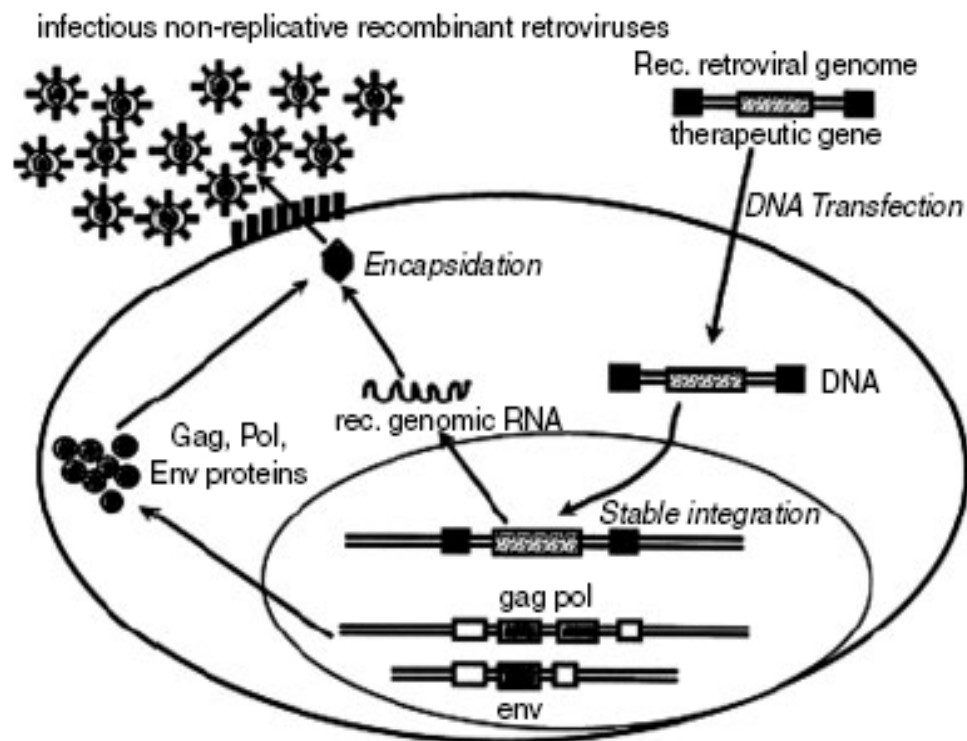


Figure 1. Functioning of a third-generation MLV-packaging cell line (from [14])